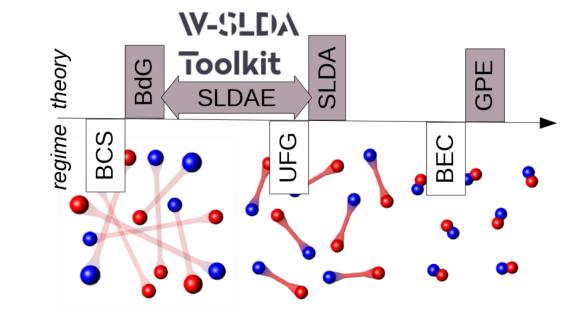


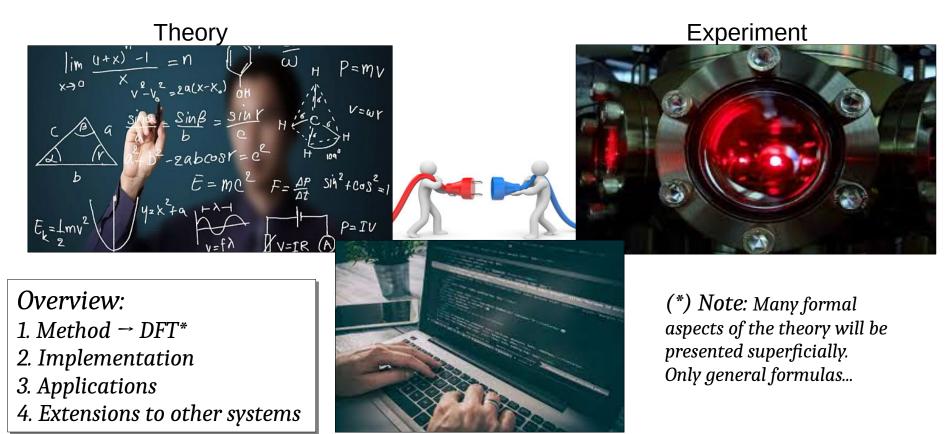
POLAND

Ultracold atomic gases, neutron stars, and nuclei from the perspective of density functional theory

Gabriel Wlazłowski

Warsaw University of Technology University of Washington





Computational physics

PHYSICS, WUT

- General purpose method \rightarrow wide range of applicability
 - → typically it has numerical complexity at most as a mean-field method *(example for BECs: Gross-Pitaevskii equation)*
- Specialized methods → devoted to specific problems / quantities
 → typically ab initio methods like QMC, ...

550 | NATURE | VOL 514 | 30 OCTOBER 2014

TOP-10 PAPERS

Just 3 papers have received more than 100,000 citations, putting them well ahead of the rest. These runaway hits all cover biological lab techniques, which in general dominate the list of most-cited literature, including 7 of the top 10.

305,148 citations

Protein measurement with the folin phenol reagent (1951)

Cleavage of structural proteins during the assembly of head of the bacteriophage T4 (1970)

155,530

A rapid and sensitive method for the quantitation of microgram quantities of protein utilizing the principle of protein-dye binding (1976)

65,335

3

5

DNA sequencing with chain-terminating inhibitors (1977)

60,397

Single-step method of RNA isolation by acid guanidinium thiocyanate-phenol-chloroform extraction (1987)

53,349

Electrophoretic transfer of proteins from polyacrylamide gels to nitrocellulose sheets: procedure and some applications (1979)

46,702

Development of the Colle–Salvetti correlation-energy formula into a functional of the electron density (1988)

8 46,145

Density-functional thermochemistry. III. The role of exact exchange (1993)

9 45,131

A simple method for the isolation and purification of total lipides from animal tissues (1957)

10 40,289

CLUSTAL W: improving the sensitivity of progressive multiple sequence alignment through sequence weighting, positionspecific gap penalties and weight matrix choice (1994)

THE TOP **100** PAPERS

NEWS FEATURE

Nature explores the most-cited research of all time.

BY RICHARD VAN NOORDEN, Brendan Maher and Regina Nuzzo

DENSITY FUNCTIONAL THEORY

When theorists want to model a piece of matter — be it a drug molecule or a slab of metal they often use software to calculate the behaviour of the material's electrons. From this knowledge flows an understanding of numerous other properties: a protein's reactivity, for instance, or how easily Earth's liquid iron outer core conducts heat.

Most of this software is built on density functional theory (DFT), easily the most heavily cited concept in the physical sciences. Twelve papers on the top-100 list relate to it, including 2 of the top 10. At its heart, DFT

Workhorse for ...

Solid-state physics

Quantum chemistry

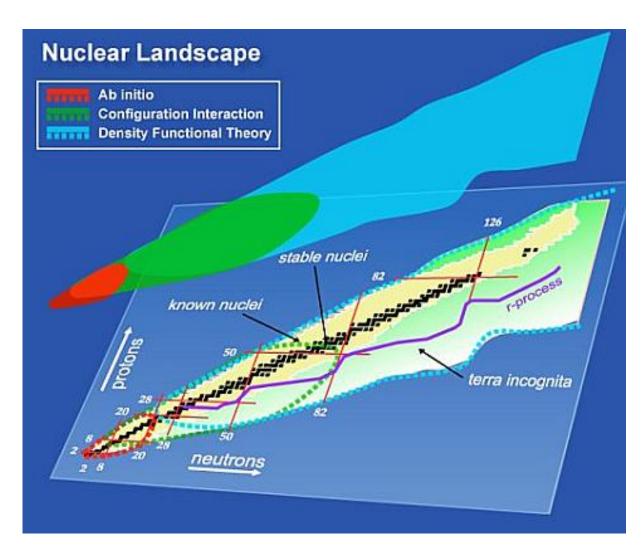
Condensed-matter physics

... also important tool for

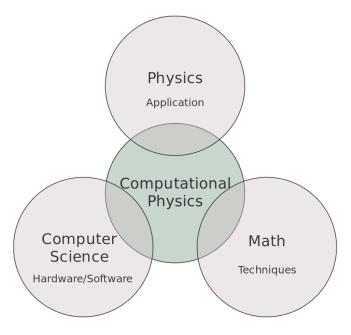
Nuclear physics

(Nuclear) astrophysics

. . .



DFT today is one of main tools of *computational physics*



From wiki pages: https://en.wikipedia.org/wiki/Computational_physics

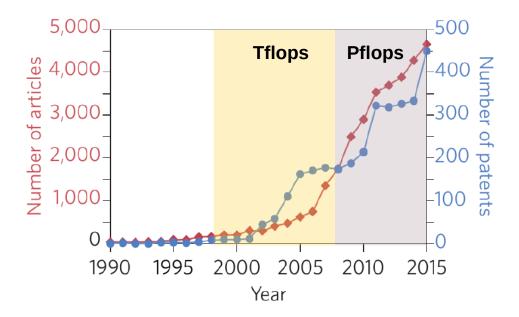


Figure 1: Number of articles and patents in materials science including the term "density functional theory" published per year during the past 25 years. Figure taken from *Boosting materials modelling*, Editorial article, Nature Materials **15**, 365 (2016).

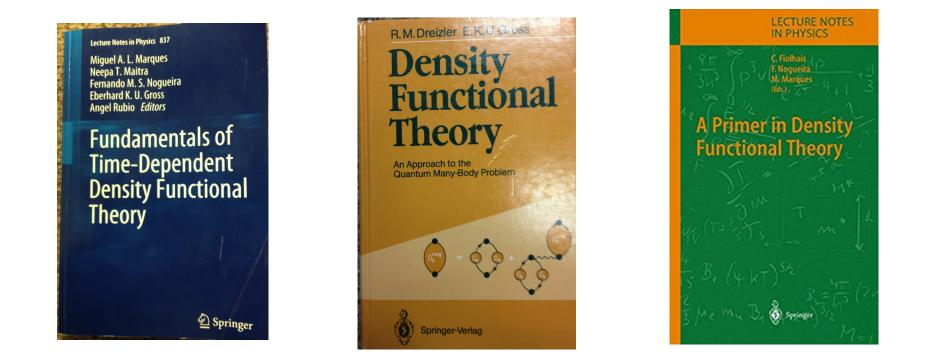
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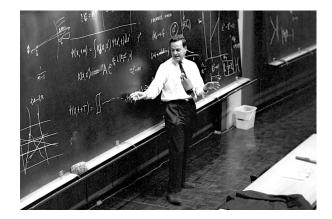
The Nobel Prize in Chemistry 1998 was divided equally between Walter Kohn "for his development of the density-functional theory" and John A. Pople "for his development of computational methods in quantum chemistry." Strong correlation with High Performance Computing (HPC) developments

PHYSICS, **WUT**

Credit: https://www.nobelprize.org/



- DFT is in principle exact theory Hohenberg-Kohn theorem (1964) implies that $\langle O \rangle = \langle \Psi[\rho] | O | \Psi[\rho] \rangle = O[\rho]$
- ... solving Schrödinger equation \leftrightarrow minimization of the energy density $E[\rho]$...
- ... however no mathematical recipe how to construct $E[\rho]$.
- In practice we postulate the functional form dimensional arguments, renormalizability, Galilean invariance, and symmetries
- DFT allows to include "beyond mean-field" effects, while keeping the numerical cost similar to mean-field method (here mean-field=BdG or HFB)



Richard Feynman ... physics is not mathematics and mathematics is not physics ...

The fact that we postulate the functional may be regarded as a weakness of the method...

PHYSICS WUT

... however it turns out that the DFT is among the most popular and versatile methods available in physics.

- DFT is in principle exact theory Hohenberg-Kohn theorem (1964) implies that $\langle O \rangle = \langle \Psi[\rho] | O | \Psi[\rho] \rangle = O[\rho]$
- ... solving Schrödinger equation \leftrightarrow minimization of the energy density $E[\rho]$...
- ... however no mathematical recipe how to construct $E[\rho]$.
- In practice we postulate the functional form dimensional arguments, renormalizability, Galilean invariance, and symmetries
- DFT allows to include "beyond mean-field" effects, while keeping the numerical cost similar to mean-field method (here mean-field=BdG or HFB)

Alternative frameworks

Schrödinger

$$\left(\hat{H}_{\text{int}} + \hat{U}_{\text{ext}}\right)\Psi_0 = E_0\Psi_0$$

$$\hat{H}_{\text{int}} = \hat{T} + \hat{V}$$

Derivation of H_{int} - "easy"

Solving many body Schrödinger equation - "hard"

$$E[n] = E_{\text{int}}[n] + \int n(\mathbf{r}, t) U_{\text{ext}}(\mathbf{r}, t) d\mathbf{r}$$

DFT

$$E_{\rm int}[n] = T[n] + V[n] + \dots$$

Derivation of E_{int} - "hard"

Solving emerging equations of motion equation - "easy"

Classes of Energy Functionals

Increasing quality and computing cost

Local Density
Approximation (LDA) $E = \int dr \,\mathcal{H}(n(r))$ Solving problem:
 $\frac{\delta E}{\delta n} = 0$ Generalized Gradient
Approximation (GGA) $E = \int dr \,\mathcal{H}(n(r), \nabla n(r))$ $\frac{\delta E}{\delta n} = 0$



Classes of Energy Functionals

. . .

Local Density
Approximation (LDA) $E = \int dr \,\mathcal{H}(n(r))$ Solving problem:
 $\frac{\delta E}{\delta n} = 0$ Generalized Gradient
Approximation (GGA) $E = \int dr \,\mathcal{H}(n(r), \nabla n(r))$ $\frac{\delta E}{\delta n} = 0$

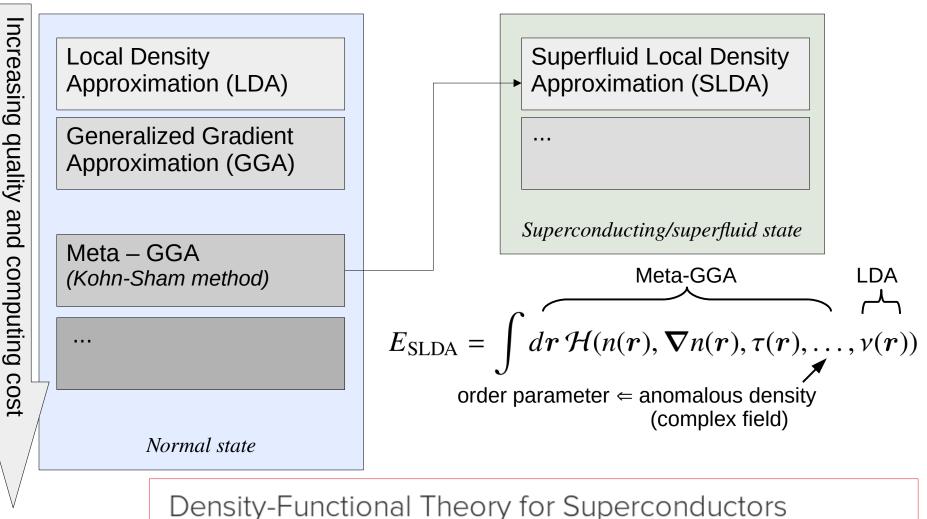
Meta – GGA (Kohn-Sham method)

$$E = \int d\mathbf{r} \,\mathcal{H}(n(\mathbf{r}), \nabla n(\mathbf{r}), \tau(r), \dots)$$

where: $n(\mathbf{r}) = \sum_{i} |\phi_{i}(\mathbf{r})|^{2} \quad \tau(\mathbf{r}) = \sum_{i} |\nabla \phi_{i}(\mathbf{r})|^{2}$
Solving problem:

Formally they have the same structure as HF equations $\hat{h}(\{\phi_i\})\phi_i(\mathbf{r}) = \varepsilon_i\phi_i(\mathbf{r})$

Classes of Energy Functionals

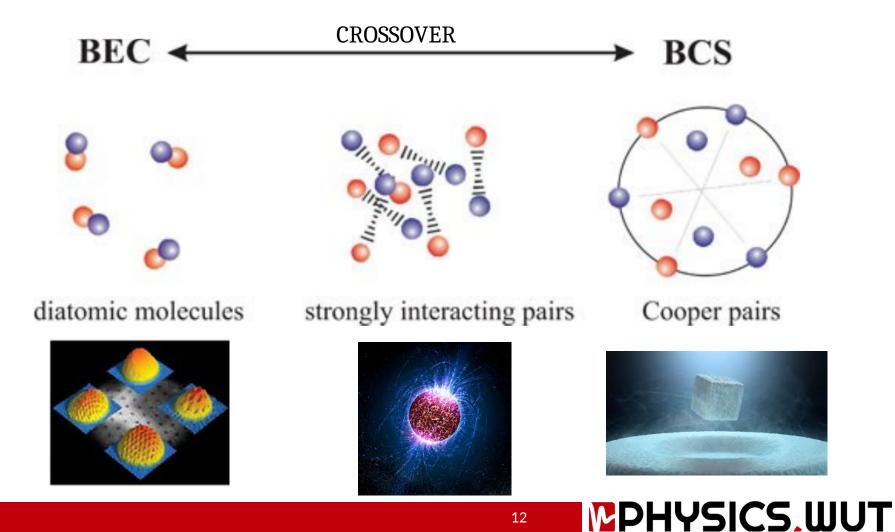


L. N. Oliveira, E. K. U. Gross, and W. Kohn Phys. Rev. Lett. **60**, 2430 – Published 6 June 1988

- The (bare) interaction is simple V(r-r')= $g\delta(r-r')$...
- ... but the interaction strength g can be tuned at will!

Photo from: http://www.lkb.upmc.fr/ultracoldfermigases/





SLDA-type functional for ultracold atoms

$$E_0 = \int \mathcal{E}[n_{\sigma}(\boldsymbol{r}), \tau_{\sigma}(\boldsymbol{r}), \boldsymbol{j}_{\sigma}, \nu(\boldsymbol{r})] d\boldsymbol{r}$$

normal density

$$n_{\sigma}(\boldsymbol{r}) = \sum_{|E_n| < E_c} |v_{n,\sigma}(\boldsymbol{r})|^2 f_{\beta}(-E_n),$$

The Fermi-Dirac distribution function

Denisties are **parametrized** via Bogoliubov quasiparticle wave functions

kinetic density

$$\tau_{\sigma}(\boldsymbol{r}) = \sum_{|E_n| < E_c} |\nabla v_{n,\sigma}(\boldsymbol{r})|^2 f_{\beta}(-E_n),$$

+ orthonormality condition

$$\varphi_{\eta}(\boldsymbol{r},t) = [u_{\eta}(\boldsymbol{r},t), v_{\eta}(\boldsymbol{r},t)]^{T}$$

current density

$$\boldsymbol{j}_{\sigma}(\boldsymbol{r}) = \sum_{|E_n| < E_c} \operatorname{Im}[v_{n,\sigma}(\boldsymbol{r}) \nabla v_{n,\sigma}^*(\boldsymbol{r})] f_{\beta}(-E_n),$$

$$\int \varphi^{\dagger}_{\eta}(\boldsymbol{r},t)\varphi_{\eta'}(\boldsymbol{r},t)\,d^{3}\boldsymbol{r}=\delta_{\eta,\eta'}$$

anomalous density

$$\nu(\boldsymbol{r}) = \frac{1}{2} \sum_{|E_n| < E_c} \left[u_{n,a}(\boldsymbol{r}) v_{n,b}^*(\boldsymbol{r}) - u_{n,b}(\boldsymbol{r}) v_{n,a}^*(\boldsymbol{r}) \right] f_\beta(-E_n).$$
Energy cut-off scale (need for regularization)

Additional density required by DFT theorem for systems with broken U(1) symmetry

PHYSICS WUT

Density-Functional Theory for Superconductors

L. N. Oliveira, E. K. U. Gross, and W. Kohn Phys. Rev. Lett. **60**, 2430 – Published 6 June 1988

SLDA-type functional for ultracold atoms

$$E_0 = \int \mathcal{E}[n_{\sigma}(\boldsymbol{r}), \tau_{\sigma}(\boldsymbol{r}), \boldsymbol{j}_{\sigma}, \nu(\boldsymbol{r})] d\boldsymbol{r}$$

By construction minimization of the SLDAtype functional leads to equations that are mathematically equivalent to BdG or HFB equations

$$\begin{pmatrix} h_{\uparrow}(\boldsymbol{r}) - \mu_{\uparrow} & \Delta(\boldsymbol{r}) \\ \Delta^{*}(\boldsymbol{r}) & -h_{\downarrow}^{*}(\boldsymbol{r}) + \mu_{\downarrow} \end{pmatrix} \begin{pmatrix} u_{n,\uparrow}(\boldsymbol{r}) \\ v_{n,\downarrow}(\boldsymbol{r}) \end{pmatrix} = E_{n} \begin{pmatrix} u_{n,\uparrow}(\boldsymbol{r}) \\ v_{n,\downarrow}(\boldsymbol{r}) \end{pmatrix}$$

minimization

¥

$$h_{\sigma} = -\boldsymbol{\nabla} \frac{\delta E_0}{\delta \tau_{\sigma}} \boldsymbol{\nabla} + \frac{\delta E_0}{\delta n_{\sigma}} - \frac{i}{2} \left\{ \frac{\delta E_0}{\delta \boldsymbol{j}_{\sigma}}, \boldsymbol{\nabla} \right\}, \quad \Delta = -\frac{\delta E_0}{\delta \boldsymbol{\nu}^*}.$$

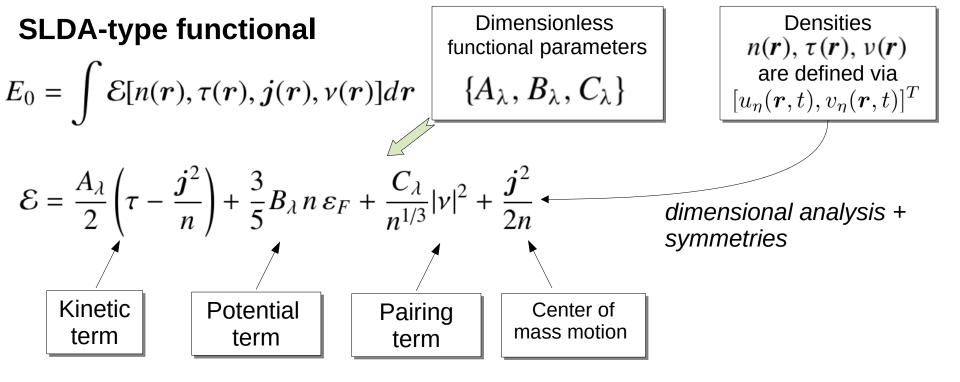
For example, BdG is equivalent to

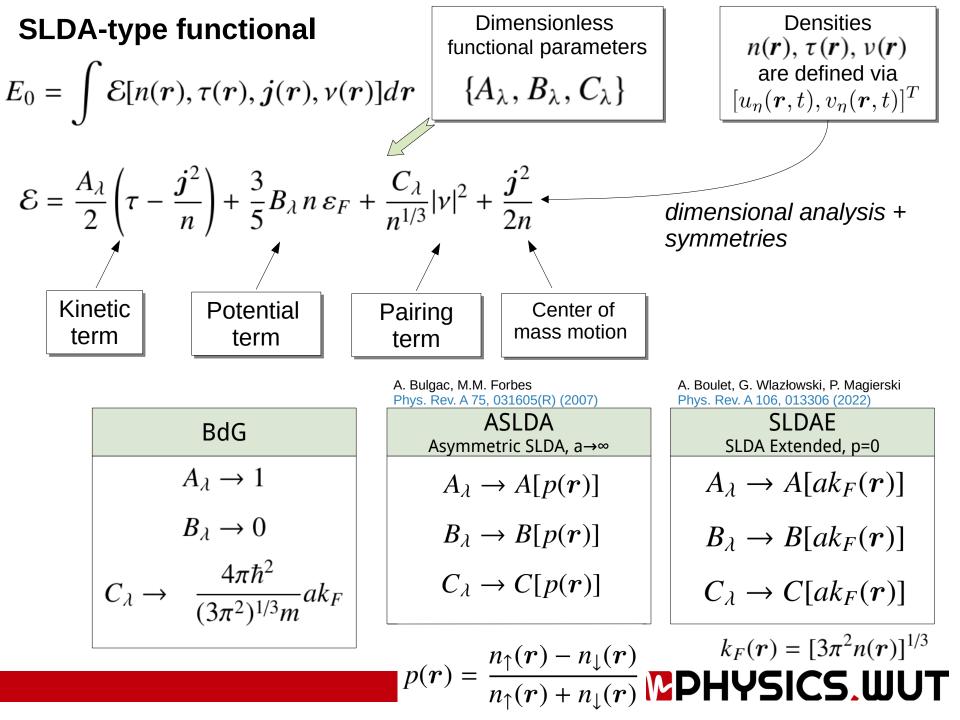
$$E_0 = \int \left(\frac{\tau_{\uparrow}(\mathbf{r}) + \tau_{\downarrow}(\mathbf{r})}{2} + 4\pi a |v(\mathbf{r})|^2 \right) d\mathbf{r}$$

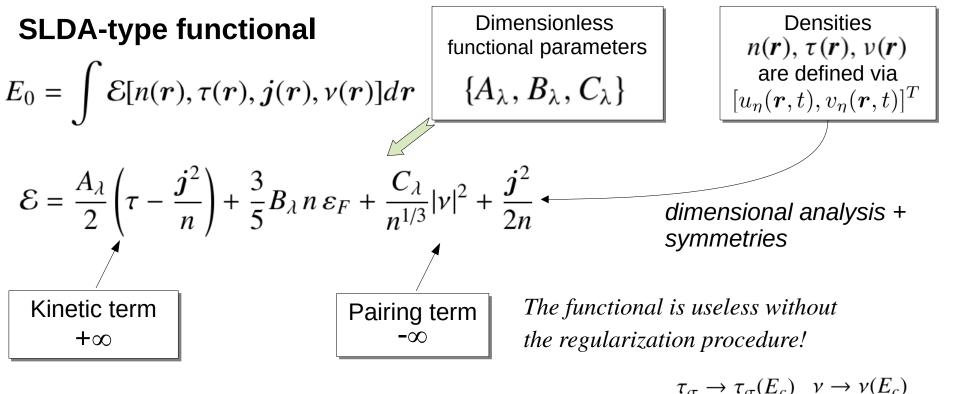
$$h_{\sigma} = -\frac{1}{2} \nabla^2, \quad \Delta = -4\pi a v,$$

Jargon:

BCS → for uniforms systems Bogoliubov-de-Gennes (BdG) → generalization of BCS to nonuniform systems, coordinate basis Hartree-Fock-Bogoliubov (HFB) → generalization to arbitrary basis







- \rightarrow there is no unique method of regularizing the functional...
- \rightarrow there are prescriptions for BdG...
- \rightarrow prescription that is numerically applicable for general case was for many years a bottleneck

Rapid Communication

Access b

 $C_{\lambda} \rightarrow C_{\lambda}^{\text{reg.}}(E_c)$

PHYSICS.WUT

Local density approximation for systems with pairing correlations

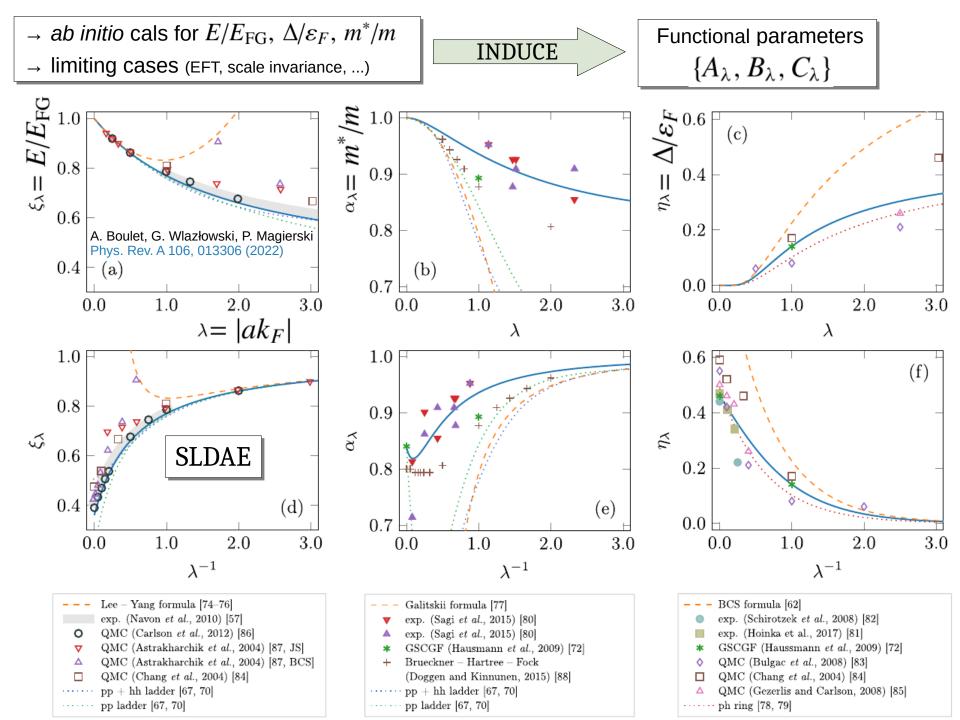
Aurel Bulgac Phys. Rev. C 65, 051305(R) – Published 25 April 2002 \rightarrow *ab initio* cals for $E/E_{\rm FG}, \Delta/\varepsilon_F, m^*/m$

→ limiting cases (EFT, scale invariance, ...)



Functional parameters $\{A_{\lambda}, B_{\lambda}, C_{\lambda}\}$





Towards time-dependent problems

$$\begin{pmatrix} h_{\uparrow}(\boldsymbol{r}) - \mu_{\uparrow} & \Delta(\boldsymbol{r}) \\ \Delta^{*}(\boldsymbol{r}) & -h_{\downarrow}^{*}(\boldsymbol{r}) + \mu_{\downarrow} \end{pmatrix} \begin{pmatrix} u_{n,\uparrow}(\boldsymbol{r}) \\ v_{n,\downarrow}(\boldsymbol{r}) \end{pmatrix} = E_{n} \begin{pmatrix} u_{n,\uparrow}(\boldsymbol{r}) \\ v_{n,\downarrow}(\boldsymbol{r}) \end{pmatrix}$$

From point of view of DFT this step represents uncontrolled approximation, called *adiabatic approximation*

$$\begin{pmatrix} h_{\uparrow}(\boldsymbol{r},t) - \mu_{\uparrow} & \Delta(\boldsymbol{r},t) \\ \Delta^{*}(\boldsymbol{r},t) & -h_{\downarrow}^{*}(\boldsymbol{r},t) + \mu_{\downarrow} \end{pmatrix} \begin{pmatrix} u_{n,\uparrow}(\boldsymbol{r},t) \\ v_{n,\downarrow}(\boldsymbol{r},t) \end{pmatrix} = i\hbar \frac{\partial}{\partial t} \begin{pmatrix} u_{n,\uparrow}(\boldsymbol{r},t) \\ v_{n,\downarrow}(\boldsymbol{r},t) \end{pmatrix}$$



Towards time-dependent problems

$$\begin{pmatrix} h_{\uparrow}(\boldsymbol{r}) - \mu_{\uparrow} & \Delta(\boldsymbol{r}) \\ \Delta^{*}(\boldsymbol{r}) & -h_{\downarrow}^{*}(\boldsymbol{r}) + \mu_{\downarrow} \end{pmatrix} \begin{pmatrix} u_{n,\uparrow}(\boldsymbol{r}) \\ v_{n,\downarrow}(\boldsymbol{r}) \end{pmatrix} = E_{n} \begin{pmatrix} u_{n,\uparrow}(\boldsymbol{r}) \\ v_{n,\downarrow}(\boldsymbol{r}) \end{pmatrix}$$

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Density-Functional Theory for Time-Dependent Systems

Erich Runge and E. K. U. Gross Phys. Rev. Lett. **52**, 997 – Published 19 March 1984

Time-Dependent Density-Functional Theory for Superconductors

O. -J. Wacker, R. Kümmel, and E. K. U. Gross Phys. Rev. Lett. **73**, 2915 – Published 21 November 1994

There exits analog of Hohenberg-Kohn theorem for time-dependent problems...

... but for time-dependent case the "exact" functional is in general different from the one that is used in static calculations...

Towards time-dependent problems

$$\begin{pmatrix} h_{\uparrow}(\boldsymbol{r}) - \mu_{\uparrow} & \Delta(\boldsymbol{r}) \\ \Delta^{*}(\boldsymbol{r}) & -h_{\downarrow}^{*}(\boldsymbol{r}) + \mu_{\downarrow} \end{pmatrix} \begin{pmatrix} u_{n,\uparrow}(\boldsymbol{r}) \\ v_{n,\downarrow}(\boldsymbol{r}) \end{pmatrix} = E_{n} \begin{pmatrix} u_{n,\uparrow}(\boldsymbol{r}) \\ v_{n,\downarrow}(\boldsymbol{r}) \end{pmatrix}$$

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$$E(t) = E[\Psi(t = 0), n(r, t' \le t), \ldots]$$

$$E(t) = \int_{V} dr \, \mathcal{E}[n(r, t), \ldots]$$

$$E(t) = \int_{V} dr \, \mathcal{E}[n(r, t), \ldots]$$

$$E(t) = \int_{V} dr \, \mathcal{E}[n(r, t), \ldots]$$

$$E(t) = \int_{V} dr \, \mathcal{E}[\Psi_{0}, n(r, t'), \ldots]$$

There exits analog of Hohenberg-Kohn theorem for time-dependent problems...

 \dots but for time-dependent case the "exact" functional is in general different from the one that is used in static calculations...

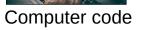
...if the evolution is slow (adiabatic), then the system follows instantaneous ground state \rightarrow use the functional taken from static considerations.

Theoretical method

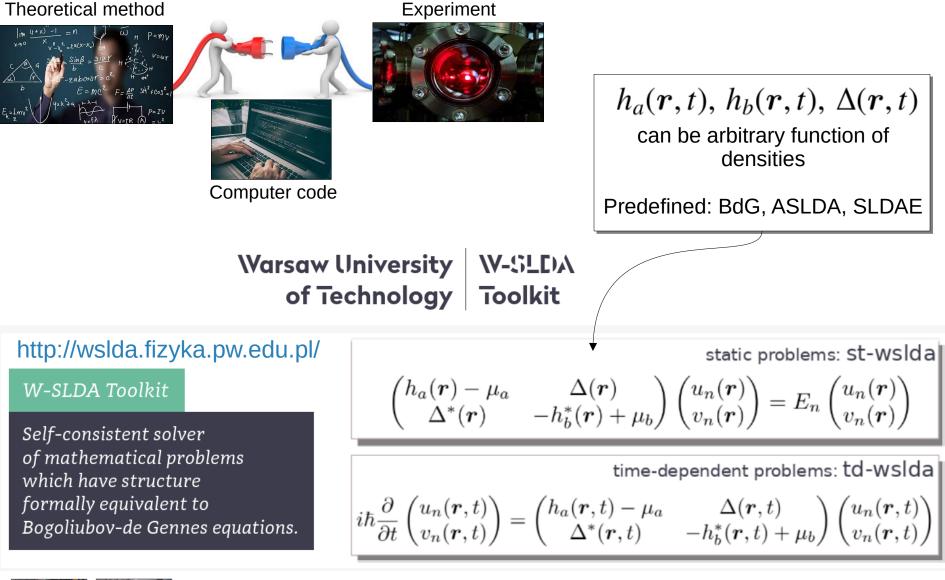


Experiment















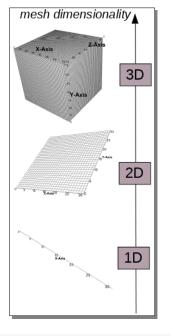


(depending on the problem size)





can run on "small" computing clusters as well as leadership supercomputers



- \rightarrow BCS-BEC crossover
- \rightarrow spin-imbalanced systems
- \rightarrow mass-imbalanced systems
- → finite temperature formalism

Ongoing extensions:

- → Bose-Fermi mixtures
- \rightarrow Fermi-Fermi mixtures (like nuclear systems: protons+neutrons)

Warsaw University W-SLDA of Technology Toolkit

http://wslda.fizyka.pw.edu.pl/

W-SLDA Toolkit

Self-consistent solver of mathematical problems which have structure formally equivalent to Bogoliubov-de Gennes equations. static problems: st-wslda

$$\begin{pmatrix} h_a(\boldsymbol{r}) - \mu_a & \Delta(\boldsymbol{r}) \\ \Delta^*(\boldsymbol{r}) & -h_b^*(\boldsymbol{r}) + \mu_b \end{pmatrix} \begin{pmatrix} u_n(\boldsymbol{r}) \\ v_n(\boldsymbol{r}) \end{pmatrix} = E_n \begin{pmatrix} u_n(\boldsymbol{r}) \\ v_n(\boldsymbol{r}) \end{pmatrix}$$

time-dependent problems: td-wslda

$$i\hbar\frac{\partial}{\partial t}\begin{pmatrix}u_n(\boldsymbol{r},t)\\v_n(\boldsymbol{r},t)\end{pmatrix} = \begin{pmatrix}h_a(\boldsymbol{r},t)-\mu_a & \Delta(\boldsymbol{r},t)\\\Delta^*(\boldsymbol{r},t) & -h_b^*(\boldsymbol{r},t)+\mu_b\end{pmatrix}\begin{pmatrix}u_n(\boldsymbol{r},t)\\v_n(\boldsymbol{r},t)\end{pmatrix}$$





can run on "small" computing clusters as well as leadership supercomputers (depending on the problem size)



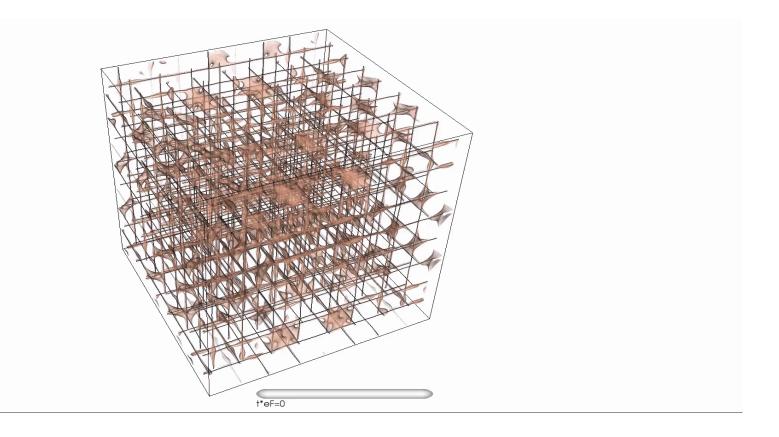












System: *unitary Fermi gas* 3D simulation on lattice 100³

number of atoms = 26,790 number of quasi-particle states = 582,898 number of PDEs = 1,165,796 PRELIMINARY: quantum turbulence in the unitary Fermi gas



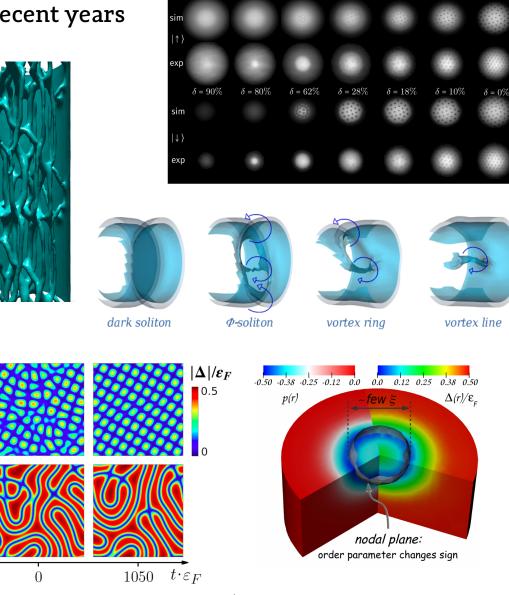
Examples of applications of SLDA in recent years

Quantum vortices

Phys. Rev. Lett. 130, 043001 (2023) Phys. Rev. A 106, 033322 (2022) Phys. Rev. A 104, 053322 (2021) Phys. Rev. A 103, L051302 (2021)

Quantum turbulence Phys. Rev. A 105, 013304 (2022)

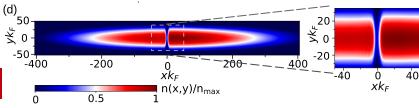
- Spin-polarized impurities
 Phys. Rev. A 100, 033613 (2019)
 Phys. Rev. A 104, 033304 (2021)
- Solitonic cascades
 Phys. Rev. Lett. 120, 253002 (2018)
- Higgs/amplitude mode arXiv:2303.13394 (2023)
- Josephson junction
 Phys. Rev. Lett. 130, 023003 (2023)



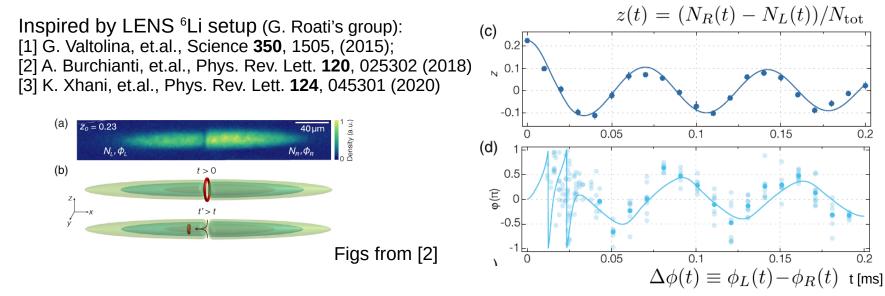
 Phase diagram of spin-imbalanced systems New J. Phys. 25, 033013 (2023)

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20



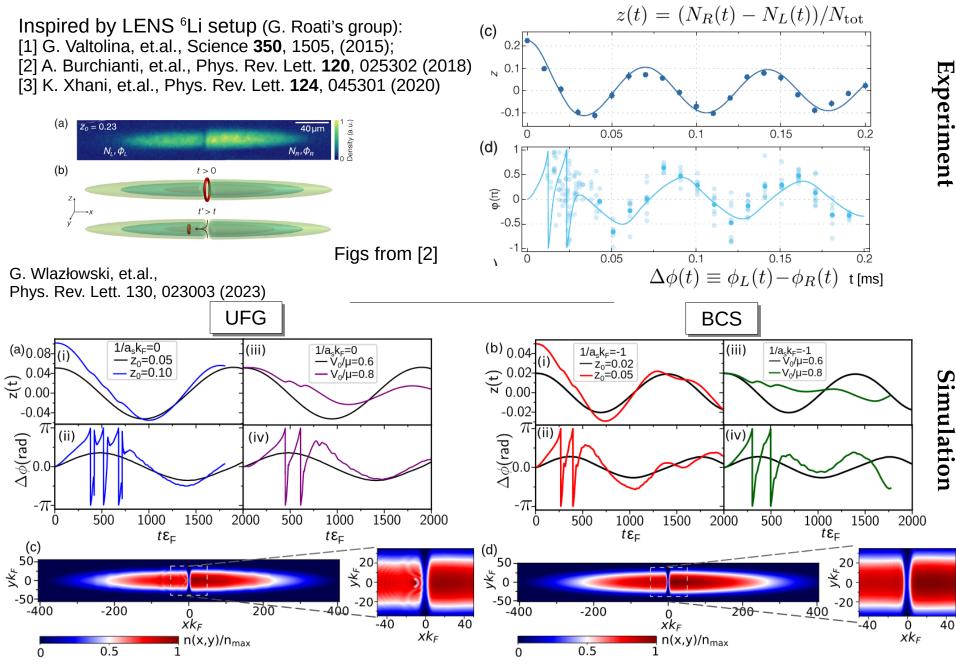
Example: Fermionic Josephson Junction

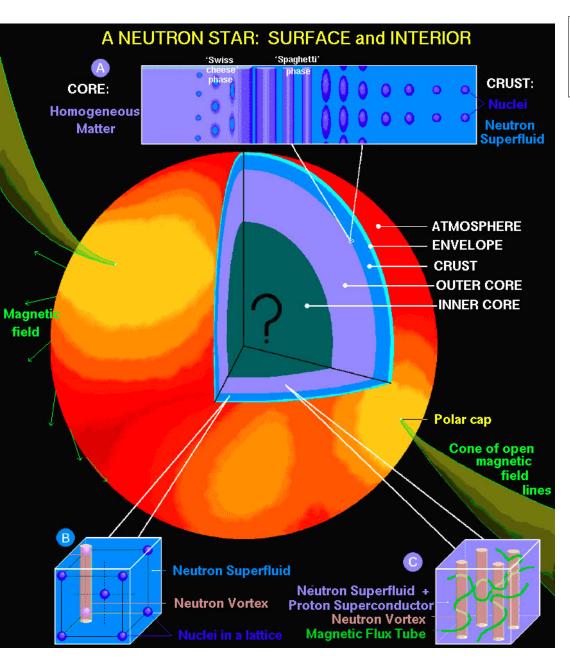


0.2

0.2

Example: Fermionic Josephson Junction





Computational physics (modeling) plays a key role in the study of objects that are not directly accessible



Ultracold atomic gases:

- → when designing the functional, we follow the same general strategies
- → we use ultracold atoms to learn about predictive power of the method

Next we apply the method to neutrons stars.

→ … for example, nuclear codes that we use are based on software that we constructed for ultracold atoms

Brussels-Montreal Skyrme functionals (BSk)

These functionals were fitted to both experimental data and N-body calculations using realistic forces.

Experimental data:

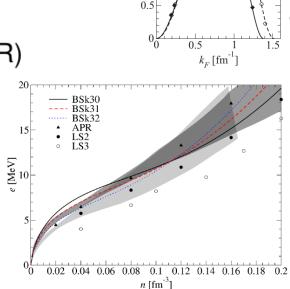
- all atomic masses with Z, N ≥ 8 from the Atomic Mass Evaluation (root-mean square deviation: 0.5-0.6 MeV) http://www.astro.ulb.ac.be/bruslib/
- charge radii
- incompressibility K_v = 240 ± 10 MeV (ISGMR)
 Colò et al., Phys.Rev.C70, 024307 (2004).

N-body calculations using realistic forces:

- equation of state of pure neutron matter
- ¹S₀ pairing gaps in nuclear matter
- effective masses in nuclear matter

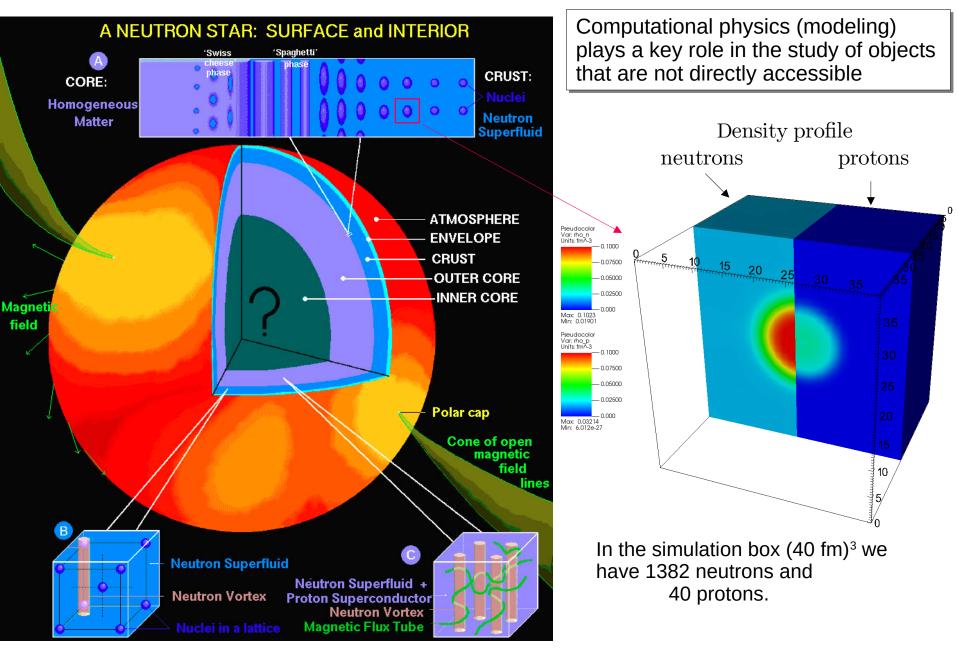
From: Nicolas Chamel talk, Buffalo, March 2016

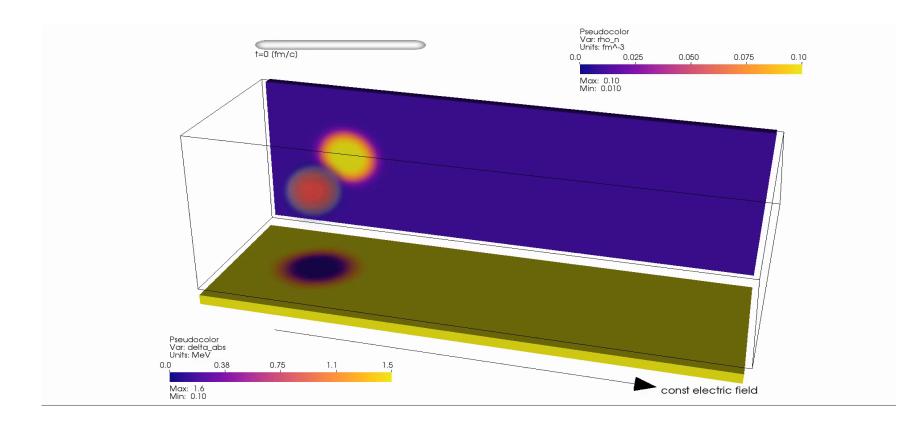
PRC 93, 034337 (2016)



(a)

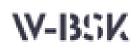
م ۲. [MeV]



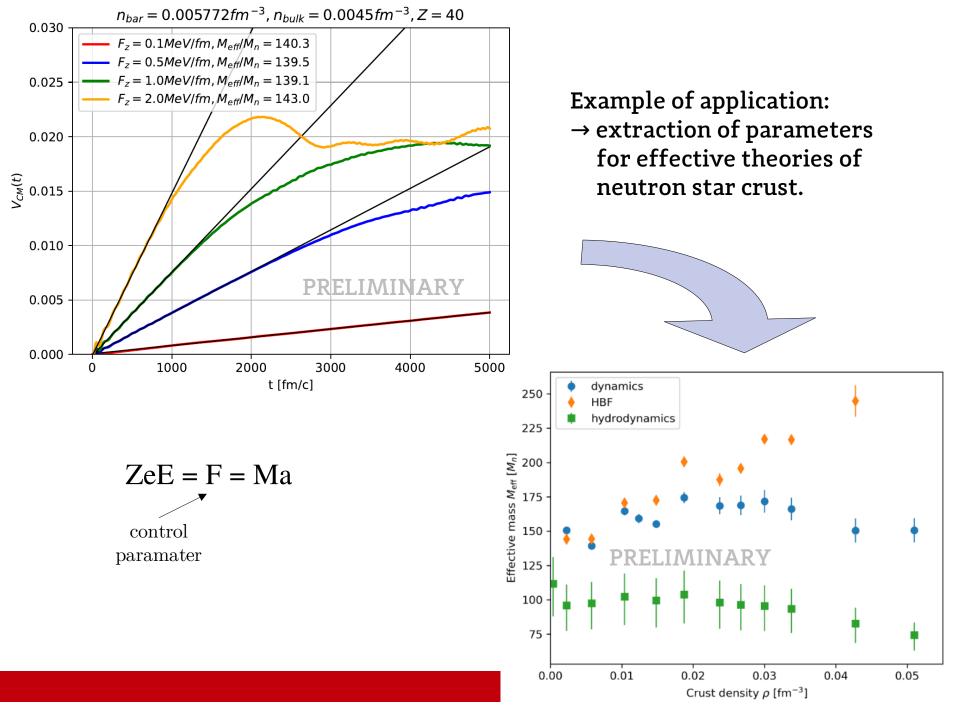


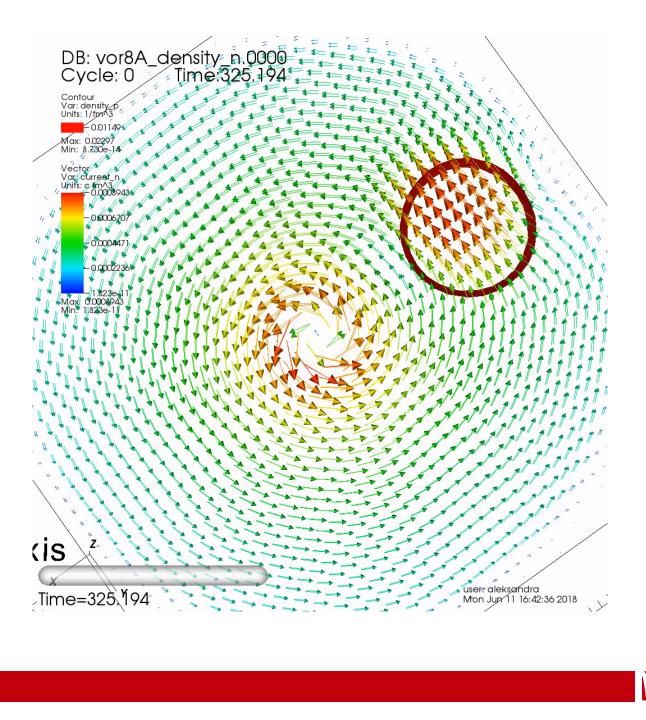
System: *nuclear matter* 3D simulation 40 x 40 x 120 [fm]

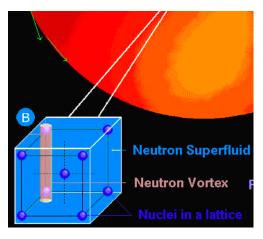
number of neutrons: 2,104 number of protons: 40



PRELIMINARY: response of nuclear impurity to uniform electric field

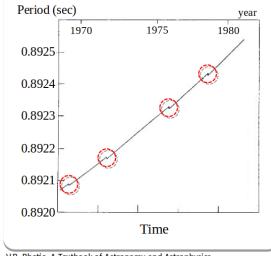




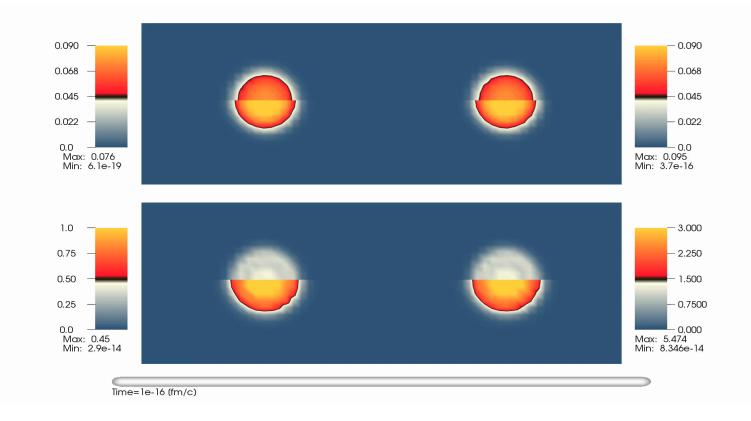


System: *nuclear in presence of quantum vortex*

Understanding of the vortex– impurity interaction is required in order or understand the phenomenon of neutron star glitches.



V.B. Bhatia, A Textbook of Astronomy and Astrophysics with Elements of Cosmology, Alpha Science, 2001.



Reaction: ⁹⁶*Zr*+⁹⁶*Zr, SkM*, E_{cm}=178MeV, head-on collision*

P. Magierski, A. Makowski, M.C. Barton, K. Sekizawa, and G. Wlazłowski Phys. Rev. C 105, 064602 (2022)

Phenomena related to nuclei (like nuclear reactions) can be also investigated by means of TDDFT.

 \rightarrow ... however various complications arise

(as compared to ultracold atoms or nuclear matter)

SUMMARY

- Microscopic simulations for ultracold atoms are presently feasible for all interaction regimes:
 - **DFT** BCS regime; SLDA strong interaction;
 - $GPE \rightarrow BEC$ regime
- DFT is general purpose method: it overcomes limitations of mean-field approch, while keeping numerical cost at the same level as BdG calculations.
- Recent progress in High Performance Computing allows for tracking dynamics of systems consisting of thousands of fermions.
- DFT can benchmark experiments...
- and provide insight into problems that are not directly accessible, like neutron stars, ... and contribute to better understanding of nuclear systems ...

Collaborators: P. Magierski, M. Tylutki, D. Pęcak, A. Barresi, A. Boulet, A. Zdanowicz (WUT); M. Forbes (WSU); A. Bulgac (UW); K. Xhani (LENS); N. Proukakis (Newcastle U.); N. Chamel (U. Bruxelles)

Contact: gabriel.wlazlowski@pw.edu.pl http://wlazlowski.fizyka.pw.edu.pl

W-SLDA theory Toolkit GPE BdG **SLDAE** regime ഗ С Ш Ü m ന 1.0(f) 0.8 0.4 ŝ ζĹ 0.60.20.4(d) 0.0 3.02.03.00.01.02.00.01.0 λ^{-1} λ^{-1} 50 ¥ 0. -50--200 -400 200 хk_F -40 n(x,y)/n_{max} 0.5

https://wslda.fizyka.pw.edu.pl/